Department of Energy
Carlsbad Field Office
P.O. Box 3090
Carlsbad, New Mexico 88221

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NMED Hazardous Waste Bureau

CERTIFIED MAIL-RETURN RECEIPT

Mr. John E. Kieling, Chief
Hazardous Waste Bureau
New Mexico Environment Department
2905 Rodeo Park Drive East, Building 1
Santa Fe, New Mexico 87505

Subject: Carlsbad Field Office Monthly Assessment Schedule, October 2013

Dear Mr. Kieling:

Enclosed is the Carlsbad Field Office Monthly Assessment Schedule for the month of October 2013. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Part 2, *General Facility Conditions*, Section 2.3.2.2, *Observation of Audit*.

An electronic version of this documentation is included as a courtesy for use by the New Mexico Environment Department but is not to be regarded as the formal submittal.

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Mr. Oba L. Vincent, Acting Director, Office of Quality Assurance, at (575) 234-7525.

Sincerely,

Jose R. Franco, Manager
Carlsbad Field Office

Enclosure

cc: w/enclosure

S. Holmes, NMED	*ED
T. Kliphuis, NMED	ED
R. Maestas, NMED	ED
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CBFO M&RC	
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*ED denotes electronic distribution

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CARLSBAD FIELD OFFICE
ASSESSMENT SCHEDULE
OCTOBER 2013

	ORGANIZATION/SCOPE	FY 12	OCT 11	NOV 11	DEC 11	JAN 12	FEB 12	MAR 12	APR 12	MAY 12	JUN 12	JUL 12	AUG 12	SEP 12	FY 13	OCT 12	NOV 12	DEC 12	JAN 13	FEB 13	MAR 13	APR 13	MAY 13	JUN 13	JUL 13	AUG 13	SEP 13	FY 14	OCT 13	NOV 13	DEC 13	JAN 14	FEB 14	MAR 14	APR 14	MAY 14	JUN 14	JUL 14	AUG 14	SEP 14	REMARKS & COMMENTS
AMWTP	AMWTP Recertification Audit (annual)			C												C													C												A-14-01, C. Castillo October 1 - 3, 2013
AMWTP	*AMWTP RTR Unit 1001*																												*C*												*S-14-08, P. Martinez* *October 8, 2013*
AMWTP	AMWTP Compacted Waste Process Surveillance (one-time)																				C																				S-13-21, P. Martinez March 20 - 21, 2013
ANL/CCP	ANL/CCP Shielded Container Surveillance																										*C*														S-13-24, BJ Verret September 10 - 11, 2013
ANL/CCP	ANL/CCP Recertification Audit (annual)												C													C													P		A-13-24, G. Knox August 27 - 29, 2013
BAPL/CCP	BAPL/CCP Closeout Audit								C																																
CBFO	CBFO Concern Classification Evaluation Surveillance (one-time)																						C																		S-13-23, C. Riggs May 7 - 9, 2013
CBFO	CBFO PDP Program Management Audit (3yr)																																							P	September 2014 (TBD)
CBFO	CBFO QAPD Implementation Audit NQA (4, 5 6, 7) (2yr)																									C															A-13-26, C. Riggs August 20 - 22, 2013
CBFO	CBFO QAPD Implementation Audit NQA (1, 2, 15, 16, 17, 18) (2Yr)									C																									P						May 2014 TBD
CBFO MANAGEMENT ASSESSMENT	CBFO and WTS External Communications			C																																					
CBFO MANAGEMENT ASSESSMENT	M & O Contractor Transition Management Assessment												C			C																									MA-12-14, O. Vincent August 14 - September 27, 2012
CBFO MANAGEMENT ASSESSMENT	Office of Business CBFO Awards Program						C																																		
CBFO MANAGEMENT ASSESSMENT	Office of Business Assessment of the Credit Card Program										C																														
CBFO MANAGEMENT ASSESSMENT	Office of Business WIPP Safeguards & Security Corrective Action Management Assessment											C																													
CBFO MANAGEMENT ASSESSMENT	Office of Business Technical Qualification Program Management Assessment																	C																							MA-12-08, A. Cooper December 1 - 31, 2012
CBFO MANAGEMENT ASSESSMENT	Office of Environment, Safety, and Health CBFO Self Assessment of Fire Protection Program (3yr)				C																																				December 2014 (TBD)
CBFO MANAGEMENT ASSESSMENT	Office of Environment, Safety, & Health CBFO EMS Program Management Assessment																										P														MA-13-01, S. McCauslin Nov. 1, 2012 - September 30, 2013
CBFO MANAGEMENT ASSESSMENT	Office of Environment, Safety, & Health/ Intra- and Inter-Office Communications																										P														*MA-14-01, G. Basabilvazo* *September 9, 2013 through TBD*
CBFO MANAGEMENT ASSESSMENT	Office of NTP TRANSCOM Security Assessment											C																													
CBFO MANAGEMENT ASSESSMENT	Office of NTP Management Assessment of Computations within WDS											C																													

P - Planned, C - Complete
Red - Changes since last approved schedule

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	Office of National TRU Program Testing and Evaluation of Alpha version of TRANSCOM 3.0												C																												
CBFO MANAGEMENT ASSESSMENT	Office of National TRU Programs CBFO TRANSCON 3.0 System Management Assessment																				C																				MA-13-02, S. Casey March 1 - 31, 2013
	Office of Quality Assurance Management Assessment of MP 9.1 Management Assessment Process																			C																					MA-12-16, C. Fesmire January 1 - 31, 2013
	Office of Quality Assurance Management Assessment of Use of Forms in CBFO QA Procedures																								C																MA-13-04, M. Navarrete July 1 - 31, 2013
	Office of Site Operations WIPP WCIP Effectiveness Program													C																											
	Office of Site Operations Verification of Closure of Contractor's Post-Start Findings for TRUPACT-III Readiness Assessment					C																																			
	Office of Site Operations CBFO Self Assessment of Emergency Management Program									C																															
	Office of Site Operations Facility Representative Program Management Assessment																	C																							MA-12-17, J. Waters December 1 -31, 2012
	Office of Site Operations WIPP Hazardous Energy Control																		C																						MA-12-03, C. Hollowell January 1 - 31, 2013
	Office of Site Operations/MP 10.7, Oversight Evaluation Process																										P														MA-13-05, D. Gadbury September 3 - 13, 2013
CCP	CCP/Intersite Shipment Transportation Audit (annual)										C														C											P					A-13-21 BJ Verret July 9 - 11, 2013 June 2014 (TBD)
	CCP IDC On-Line Training System Surveillance (one-time)																C																								S-13-01, C. Castillo November 13 - 14, 2012
	CCP QA Audit (ALL SITES) (annual)							C														C												P							A-13-11, R. Castillo April 16 - 18, 2013 March 2014 (TBD)
	CCP Transportation Audit - (ALL SITES) (annual)																	C													P										A-14-05, BJ Verret December 3 - 5, 2013
	CCP Corrective Action Management Surveillance (one-time)																				C																				S-13-22, B. Pace March 18, 2013
HANFORD/CCP	Hanford/CCP Recertification/Closeout Audit (Annual)									C													C													P					A-13-15, B. Pace May 14 - 16, 2013 May 2014 (TBD)
INL/CCP	INL/CCP Recertification (annual)										C													C												P					A-13-18, T. Bowden June 3 - 6, 2013
	INL/CCP Labs Closeout										C														C																A-13-19, P.Y. Martinez July 9 - 11, 2013
	INL/CCP RTR RH 3000 Surveillance (one-time)									C																															
	INL/CCP Labs GS/MS #7 Surveillance (one-time)																		C																						S-13-17, P.Y. Martinez January 29 - 31, 2013
	INL/CCP VE of S3114 Audit (one-time)																		C																						S-13-19, T. Bowden January 24, 2013

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	INL/CCP ACL Surveillance (one-time)			C																																					
LANL/CCP	LANL/CCP High Energy RTR Surveillance (one-time)					C																																			
LANL/CCP	LANL/CCP ISOCS Surveillance (one-time)																							C																	S-13-29, G. Knox June 11 - 12, 2013
LANL/CCP	LANL/CCP Solids Sampling & Analysis Surveillance (one-time)																		C																						S-13-18, G. Knox January 10, 2013
LANL/CCP	LANL/CCP Recertification Audit (annual)											C													C													P		A-13-23, B. Pace July 23 - 25, 2013
LANL/CO	LANL/CO QAPD Implementation Audit (annual)									C											C													P							A-13-10, T. Bowden March 26 - 28, 2013 March 2014 (TBD)
NWP	Compliance to DOE O 226.1 - Implementation DOE Oversight Policy NWP - Audit (3yr.)																C																								November 2015 (TBD)
NWP	NWP CBFO Records Holding Facility Program Surveillance (3yr)																									C															S-13-30, C. Castillo August 13 - 15, 2013
NWP	NWP Centralized Procurement Surveillance (3yr)							C																																	March 2015 (TBD)
NWP	NWP Conduct of Operations (3yr)																																								A-13-07, G. Knox TBD 2013
NWP	NWP CMR Surveillance (3yr)																C																								November 2015 (TBD)
NWP	NWP Commercial Grade Dedication Surveillance (3yr)																																						P		August 2014 (TBD)
NWP	NWP Confined Space/Hearing and Fall Protection Surveillance (3yr)																								C																S-13-09, P. Gomez July 16 - 18, 2013
NWP	NWP Dosimetry/RADCON Program Surveillance (3yr)																					C																			April 2016 (TBD)
NWP	NWP Engineering Fire Protection/Emergency Response Surveillance (3yr)																												P												S-14-06, B. Pace October 15-17, 2013
NWP	NWP Engineering Surveillance (DSA/Nuclear Safety) (3yr)																					C																			S-13-04, B.J. Verret April 2 - 4, 2013
NWP	NWP Graded Approach Surveillance (3yr)													C																											September 2015 (TBD)
NWP	NWP Environmental Surveillance (NESHAP) (3yr)																																						P		August 2014 (TBD)
NWP	NWP RCRA/Hazardous Material Transporter Surveillance (3yr)																													P											S-14-07, BJ Verret November, 2013 TBD
	NWP/CCP Implementation of the WIPP Form Process (one time)																										C														*S-13-33, G. Knox* *September 16, 2013*
NWP	NWP Environmental Surveillance (meteorology) (3yr)											C																													July 2015 (TBD)
NWP	NWP Environmental Surveillance (SDW) (3yr)									C																															May 2015 (TBD)

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NWP	NWP Ground Control Surveillance (3yr)				C															C																					S-13-10, R. Castillo February 5 - 7, 2013 February 2016 TBD
NWP	NWP Hazardous Transportation Vendors/ *RCRA Hazardous Materials Transporter* Surveillance (3yr)																												P												S-14-02, B.J. Verret October 22 - 24, 2013
NWP	NWP ISH Program Surveillance (3yr)																	C																							S-13-03, P. Gomez December 4 - 6, 2012 December 2015 TBD
NWP	NWP Maintenance/Work Control Processes Audit (annual)																																								A-13-22, C. Riggs Postponed until FY14. Will be issued a new #
NWP	NWP Mine Ventilation Surveillance (3yr)								C																																April 2015 (TBD)
NWP	NWP Environmental (RCRA)/ NWP Monitoring Programs Audit (biota/land management/Delaware Basin (including DP831)/Groundwater (annual)												C																					P							*March 2014 TBD*
NWP	*NWP VOC Hydrogen / Methane Monitoring Program Audit (annual)*																													P											*A-14-06, R. Castillo* *November 5-7, 2013*
NWP	NWP Monitoring Programs Surveillance (Seismic & Subsidence) (3yr)																									C															S-13-27, R. Castillo August 20-22, 2013
NWP	NWP QAPD Implementation Audit, Criteria (NQA-1) 1 thru 9 (annual)								C													C													P						A-13-14, G. Knox April 30 - May 2, 2013
NWP	NWP QAPD Implementation Audit, Criteria (NQA-1) 10 thru 18 (annual)		C													C																									A-14-02, J. Schuetz *Postponed , 2014 TBD*
NWP	NWP Records Processes Follow-Up Surveillance (one-time)		C																																						
NWP	NWP Safety Surveillance (Electrical Safety) (3yr)																														P										S-14-04, R. Castillo December 3 - 5, 2013
NWP	NWP Safety Surveillance (HAZCOM) (3yr)													C																											September 2015 (TBD)
NWP	NWP Safety Surveillance (Job Hazard Analysis) (3yr)																														P										S-14-05, C. Castillo December 3 - 5, 2013
NWP	NWP Safety Surveillance (Mining) (3yr)		C																																						October 2014 (TBD)
NWP	NWP Type B Packaging Surveillance (Maintenance & Fabrication) (3yr)																		C																						S-13-07, BJ Verret January 29 - 31, 2013
NWP	NWP Waste Handling (annual)																				C													P							A-13-06, G. Knox March 12 - 14, 2013 March 2014 (TBD)
NWP	NWP RES Permittee Waste Confirmation Surveillance (3yr)						C																																		February 2015 (TBD)
NWP	NWP Waste Data System Surveillance (3yr)																												P												S-14-01, J. Schuetz October 15 - 17, 2013
NWP	NWP (CFR Part 71) Subpart H Surveillance (3yr)								C																																April 2015 (TBD)

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ORNL/CCP	ORNL/CCP Recertification Audit							C														C										P									A-13-12. P. Martinez April 23 - 25, 2013 January 2014 (TBD)
SNL/CCP	SNL/CCP RH Dose-to-Cune Surveillance (one-time)			C																																					
	SNL/CCP Recertification/Closeout Audit												C																												
SNL/CPG	SNL/CPG QAPD Implementation Audit (annual)				C															C													P								A-13-09. B. Pace February 12 - 14, 2013 February 2014 (TBD)
SRS/CCP	SRS/CCP Recertification Audit (annual)			C													C													P											A-14-04. P.Y. Martinez November 13-15, 2013
TRANSPORTATION	TRUPACT III QA Surveillance at WIPP Site (one-time)													C																											S-12-07. B.J. Verret September 25 - 27, 2012
	TRUPACT-III Mobile Loading Compliance Verification Audit																																								
	Visionary Solutions Transportation Surveillance (annual)							C															C											P							
	Cast Transportation Surveillance (annual)							C																C										P							S-13-13. B.J. Verret June 25 - 27, 2013 March 2014 (TBD)
TRANSCOM	Transcom Surveillance (annual)																C													P											S-14-03. BJ Verret November 5 - 7, 2013
WIPP SECURITY	WIPP Security Surveillance (3yr)																		C																						S-13-16. R. Castillo January 15 - 17, 2013

Prepared by: OBA L. VINCENT
CBFO Quality Assurance Approval

Date: 15 OCT 13

Approved by: Jose R. Franco
Jose R. Franco, CBFO Manager

Date: 10/16/13

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