



Department of Energy
Carlsbad Field Office
P. O. Box 3090
Carlsbad, New Mexico 88221
OCT - 2 2012

 **ENTERED**



Mr. Jon E. Hoff, Manager
Quality Assurance
Nuclear Waste Partnership LLC
P.O. Box 2078
Carlsbad, NM 88221-2078

Subject: Revised Notification of Audit A-13-04, NWP LLC QA Program, ASME
NQA-1, Criteria 10 through 18

Dear Mr. Hoff:

The Carlsbad Field Office (CBFO) will conduct Audit A-13-04 of the Nuclear Waste Partnership (NWP) LLC Quality Assurance (QA) Program as related to ASME NQA-1, Criteria 10 through 18. The audit will take place October 30, 2012, through November 1, 2012, at the Waste Isolation Pilot Plant near Carlsbad, New Mexico. The audit will be conducted in accordance with the enclosed audit plan.

Your representatives are requested to coordinate with the audit team to provide appropriate space to conduct meetings, access to NWP facilities, cognizant personnel to support the audit, and appropriate documentation and records.

If you have any questions, please contact me at (575) 234-7065.

Sincerely,

Randy Unger
Director, Office of Quality Assurance

Enclosure



Mr. Jon E. Hoff

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OCT - 2 2012

cc: w/enclosure

J. Franco, CBFO	* ED
R. Unger, CBFO	ED
D. Gadbury, CBFO	ED
G. Basabilvazo, CBFO	ED
D. Garcia, CBFO	ED
J. R. Stroble, CBFO	ED
F. Sharif, NWP	ED
T. Reynolds, NWP	ED
M. A. Mullins, NWP	ED
T. Peake, EPA	ED
L. Bender, EPA	ED
E. Feltcorn, EPA	ED
R. Joglekar, EPA	ED
S. Ghose, EPA	ED
R. Lee, EPA	ED
J. Kielling, NMED	ED
T. Kliphuis, NMED	ED
S. Holmes, NMED	ED
R. Maestas, NMED	ED
T. Kesterson, NMED/DOE OB	ED
J. Marple, NMED/DOE OB	ED
D. Winters, DNFSB	ED
R. Allen, CTAC	ED
T. Bowden, CTAC	ED
M. Mager, CTAC	ED
G. White, CTAC	ED
WIPP Operating Record	ED
CBFO QA File	
CBFO M&RC	

*ED denotes electronic distribution

CARLSBAD FIELD OFFICE AUDIT PLAN

Audit Number: A-13-04

Organization to be Audited: Nuclear Waste Partnership (NWP) LLC

Organizations to be Notified: NWP
New Mexico Environment Department (NMED)
U. S. Environmental Protection Agency (EPA)
Defense Nuclear Facilities Safety Board (DNFSB)

Date and Location: October 30, 2012, through November 1, 2012
Waste Isolation Pilot Plant (WIPP) near Carlsbad, New Mexico

Audit Team:

Richard Farrell	Carlsbad Field Office (CBFO) Management Representative
Tamara D. Bowden	Audit Team Leader, CBFO Technical Assistance Contractor (CTAC)
Greg Knox	Auditor, CTAC
Harley Kirschenmann	Auditor, CTAC
Norm Frank	Auditor, CTAC
Katie Martin	Auditor, CTAC

Audit Scope:

The audit will evaluate the adequacy, implementation, and effectiveness of the NWP Quality Assurance (QA) Program as related to Criteria 10 through 18 of the American Society of Mechanical Engineers *Quality Assurance Program Requirements for Nuclear Facilities* (ASME NQA-1-1989 Edition).

Activities/Tasks/Areas to be Included in the Audit:

Implementation of the following quality elements will be evaluated:

- Inspection
- Test Control
- Control of Measuring and Test Equipment
- Handling, Storage, and Shipping
- Inspection, Test, and Operating Status
- Control of Nonconforming Items
- Corrective Action
- Quality Assurance Records
- Audits

Governing Documents/Requirements:

Adequacy, implementation, and effectiveness of the NWP QA Program will be based on current versions of the following documents:

- DOE/CBFO-94-1012, CBFO *Quality Assurance Program Document* (QAPD)
- WP 13-1, Washington TRU Solutions LLC *Quality Assurance Program Description*

Checklists will be developed from selected procedures implementing NQA-1 Criteria 10 through 18.

Schedule of Audit Activities:

A pre-audit conference is scheduled for 8:30 a.m. on Tuesday, October 30, 2012, in the large conference room at the WIPP site.

Audit team caucus meetings will be held at 3:00 p.m. on Tuesday and Wednesday, October 30 – 31, 2012, and at 1:00 p.m. on Thursday, November 1, 2012, in the small conference room at the WIPP site.

Daily management briefings will be held at 8:30 a.m. on Wednesday, October 31, 2012, and 8:30 a.m. on Thursday, November 1, 2012, with appropriate NWP management personnel at a location to be determined.

A post-audit conference is scheduled for 2:00 p.m. on Thursday, November 1, 2012, in the large conference room at the WIPP site.

Prepared by: Tamara D. Bowden
Tamara D. Bowden, CTAC
Audit Team Leader

Date: 10/02/12

Concurrence: R. Unger
Randy Unger, CBFO
Quality Assurance Director

Date: 2 Oct 12