



ENTERED

Department of Energy  
Carlsbad Field Office  
P. O. Box 3090  
Carlsbad, New Mexico 88221



MAY 4 2011

**CERTIFIED MAIL -RETURN RECEIPT**

Mr. Steve Zappe, Project Leader  
Hazardous Materials Bureau  
New Mexico Environment Department  
2905 Rodeo Park Drive East, Building 1  
Santa Fe, New Mexico 87505-6303

Subject: Carlsbad Field Office Monthly Assessment Schedule, May 2011

Dear Mr. Zappe:

Enclosed is the Carlsbad Field Office (CBFO) Assessment Schedule for the month of May 2011. The schedule is transmitted per the requirement contained in the Waste Isolation Pilot Plant Hazardous Waste Permit, Part 2, *General Facility Conditions*, Section 2.3.2.2, *Observation of Audit*.

An electronic version of this documentation is included as a courtesy for use by the New Mexico Environment Department, but is not to be regarded as the formal submittal.

I certify under penalty of law that this document and all enclosures were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

If you have any questions or comments, please contact Martin P. Navarrete, CBFO Acting Director, Office of Quality Assurance, at (575) 234-7483.

Sincerely,

  
Edward Ziemianski (for)  
Acting Manager

Enclosure

- cc: w/enclosure
- J. Bearzi, NMED \*ED
- J. Kieling, NMED ED
- O. Vincent, CBFO ED
- M. Navarrete, CBFO ED
- WIPP Operating Record ED
- CBFO QA File
- CBFO M&RC
- \*ED denotes electronic distribution



**CARLSBAD FIELD OFFICE  
ASSESSMENT SCHEDULE  
May 2011**

ORGANIZATION/SCOPE		FY10	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	REMARKS & COMMENTS	
AMWTP	AMWTP Recertification Audit (annual)																										P September 2011 (TBD)	
ANL	ANL/CCP - East/VE and Radiological Sampling Process for RH S5000 Debris Waste																											
	ANL/CCP Recertification Audit (annual)																										P August 2011 (TBD)	
BAPL	ANL/CCP Gravimetric and Dimensional Measurement Process Surveillance																											
	BAPL/CCP Certification Audit																										P April 2011 (TBD)	
	BAPL/CCP RH VE & Radiological Characterization Surveillance																											
	BAPL/CCP HSG/DTC Surveillance																											
CBFO	Compliance to DOE O 226.1 - Implementation DOE Oversight Policy CBFO - Audit (3yr.)																										C May 2013 (TBD)	
	CBFO PDP Program Management Audit (3yr)																										P July 2011 (TBD)	
	CBFO QAPD Implementation Audit NQA (1 - 18) (annual)																										C A-11-15, C. Riggs May 3 - 5, 2011	
	CBFO Independent Audit (3yr) Office of Environmental Management QA audit of CBFO, WTS and CCP																										P January 2012 (TBD)	
	CBFO Records Inventory Disposition Schedule Review Follow-up Surveillance (CAR 08-027)																										P May 2012 (TBD)	
NT ASSESSMENTS	Office of Business CBFO Risk Management Plan																										P MA-11-05, K. McCauslin April 1 - May 1, 2011	
	Office of Business Records Management																											
	Office of Business Communication between Budget and Contracting Teams																											
	Office of Business Performed to satisfaction with its customer service. Interviews are conducted with CBFO directors, WTS, CTAC, Visionary and CAST.																											C
	Office of the Manager Effectiveness of CBFO Corrective Actions																											C
	Office of NTP Management Assessment of the TRU Waste Confirmation Process																											C
	Office of NTP CCP/Transportation Management Assessment at Hanford																											C





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ORGANIZATION/SCOPE		F	O	N	D	J	F	M	A	M	J	J	A	S	F	O	N	D	J	F	M	A	M	J	J	A	S	F	O	N	D	J	F	M	A	M	J	J	A	S	F	REMARKS & COMMENTS													
		Y	C	O	E	A	E	A	A	J	J	A	S	Y	C	O	D	J	F	M	A	M	J	J	A	S	Y	C	O	D	J	F	M	A	M	J	J	A	S	Y															
		1	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1					
	SRS/CCP Large Container NDE Follow-Up Surveillance																C																																						
	SRS/CCP Recertification Audit (annual)		C															C												P																					October 2011 (TBD)				
TRANSPORTATION	TRUPACT III QA Surveillance at WIPP Site																								P																									S-11-XX, TBD June 2011 (TBD)					
	TRUPACT-III Mobile Loading Compliance Verification Audit																							P																											A-11-18, T. Putnam May 24 - 26, 2011				
	Visionary Solutions Transportation Surveillance (annual)							C																																												March 2012 (TBD)			
	Cast Transportation Surveillance (annual)				C														C													P																				December 2011 (TBD)			
TRANSCOM	Transcom Surveillance (annual)																																																				(TBD)		
WTS	Compliance to DOE O 226.1 - Implementation DOE Oversight Policy WTS - Audit (3yr.)																																																	P	September 2012 (TBD)				
	Washington TRU Solutions CBFO Records Holding Facility Program																							C																												(TBD)			
	WTS Action Request/Work Control Process Surveillance																																																					(TBD)	
	WTS Centralized Procurement Surveillance (3yr)																																																					March 2012 (TBD)	
	WTS Conduct of Operations (3yr)					C																																																November 2012 (TBD)	
	WTS (CFR Part 71) Subpart H Surveillance (3yr)																																																					March 2012 (TBD)	
	WTS CMR Surveillance (3yr)																																																			P	September 2012 (TBD)		
	WTS Commercial Grade Dedication Surveillance (one-time)																																																					S-10-XX (TBD)	
	WTS Confined Space/Hearing and Fall Protection Surveillance (3yr)																																																				P	September 2012 (TBD)	
	WTS Dosimetry Program Surveillance (3yr)						C																																															January 2013 (TBD)	
	WTS Fire/Emergency Response Surveillance (3yr)						C																																															January 2013 (TBD)	
	WTS Engineering Surveillance (DSA/Nuclear Safety) (3yr)																																																			P	August 2012 (TBD)		
	WTS Engineering Fire Protection Surveillance (3yr)																																																						P
WTS Environmental Surveillance (DP 831)						C																																																	

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ORGANIZATION/SCOPE	F	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	REMARKS & COMMENTS				
	Y	C	O	E	A	E	A	P	A	U	U	U	E	C	O	E	A	E	A	P	A	U	U	U	E	C	O	E	A	E	A	P	A	U	U	U	E					
	1	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1				
WTS Graded Approach Surveillance (3yr)																																							P	September 2012 (TBD)		
WTS Environmental Surveillance (biota/land management) (3yr)										C																														May 2013 (TBD)		
WTS Environmental Surveillance (NESHAPS) (3yr)																																								August 2011 (TBD)		
WTS RCRA/Hazardous Material Transporter Surveillance										C																																
WTS Environmental Surveillance (RCRA) (3yr)										C																														May 2013 (TBD)		
WTS Environmental Surveillance (meteorology) (3yr)																																							P	June 2012 (TBD)		
WTS Environmental Surveillance (SDW) (3yr)																																							P	June 2012 (TBD)		
WTS Ground Control Surveillance (annual)						C																																	P	November 2011 (TBD)		
WTS Hazardous Transportation Vendors Surveillance (3yr)											C																													May 2013 (TBD)		
WTS ISH Program Surveillance												C																												P	S-11-19, N. Frank July 26 - 28, 2011	
WTS Maintenance Surveillance (annual)																																								P	S-11-13 N. Frank June 21 - 23, 2011	
WTS Mine Ventilation Surveillance (3yr)																																								P	April 2012 (TBD)	
WTS Monitoring Programs Audit (biota/land management/Delaware Basin (including DP831)/Groundwater/VOC H2 CH4)																																								P	A-11-17, P. Y. Martinez May 10 - 12, 2011	
WTS Monitoring Programs Surveillance (Delaware Basin Drilling) (3yr)																																									May 2013 (TBD)	
WTS Monitoring Programs Surveillance (Ground Water) (annual)																																									In scope of Monitoring Program Audit	
WTS Monitoring Programs Surveillance (Seismic) (3yr)																																									June 2014 (TBD)	
WTS Monitoring Programs Surveillance (Subsidence) (3yr)																																								P	December 2011 (TBD)	
WTS Monitoring Programs Surveillance (Volatile Organic Compounds/H2/Methane)(3yr)																																									May 2013 (TBD)	
WTS QAPD Implementation Audit, Criteria (NQA-1) 1 thru 9 (annual)																																									P	April 2012 (TBD)
WTS QAPD Implementation Audit, Criteria (NQA-1) 10 thru 18 (annual)																																									P	October 2011 (TBD) (Including Criteria 1 & 2)
WTS RADCON Program Surveillance (3yr)																																								P	July 2012 (TBD)	
WTS Records Processes Audit (annual)																																									P	March 2012 (TBD)
WTS Records Processes Follow Up Surveillance																																								P	October 2011 (TBD)	

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ORGANIZATION/SCOPE	F 1 0 9	O 0 9	N 0 9	D 0 9	J 1 0	F 1 0	M 1 0	A 1 0	M 1 0	J 1 0	J 1 0	A 1 0	S 1 0	F 1 0	O 1 0	N 1 0	D 1 0	J 1 1	F 1 1	M 1 1	A 1 1	M 1 1	J 1 1	J 1 1	A 1 1	S 1 1	F 1 2	O 1 1	N 1 1	D 1 1	J 1 2	F 1 2	M 1 2	A 1 2	M 1 2	J 1 2	J 1 2	A 1 2	S 1 2	REMARKS & COMMENTS																				
WTS																																												December 2013 (TBD)																
																																													January 2012 (TBD)															
																																													December 2013 (TBD)															
																																													October 2011 (TBD)															

Prepared by: *M. P. Davante*  
Acting CBFO QA Office Director

Date: 5-2-11

Approved by: *[Signature]*  
Acting CBFO Manager

Date: 5-2-11